



K A H N A W A K E

G A M I N G C O M M I S S I O N

PREPARING AN APPLICATION FOR A CLIENT PROVIDER AUTHORIZATION

REQUIRED APPLICATION DOCUMENTS

1. **Application for Client Provider Authorization (“CPA”).** (*Schedule “B” of the Regulations*) Identifies the business entity that is applying for the CPA. If the application is granted, this business entity – and the the URL’s of the sites that it operates – will be listed on the KGC’s website.
2. **Business Entity Information Form.** (*Schedule “B” of the Regulations*) To be completed on behalf of the business entity that is applying for the CPA.
3. **Personal Information Form.** (*Schedule “C” of the Regulations*) To be completed by each of the following individuals:
 - i. Each director of the applicant corporation (**privately held corporations only**);
 - ii. Each shareholder with ten percent (10%) or more ownership of or controlling interest in the applicant corporation;
 - iii. Each person who submits a Key Person Licence Application Form.
4. **Key Person Licence Application Form.** (*Schedule “E” of the Regulations*). This form must be completed by each of the applicant’s Key Persons. Each applicant must nominate at least one person who exercises a key managerial function.
5. **Letter from hosting facility.** (*No form available*) Letter from Mohawk Internet Technologies (www.mohawk.ca), the only authorized hosting facility in Kahnawake, indicating that it is willing to host the applicant’s operations, if the application for a CPA is granted.
6. **Control System Submission.** Except as otherwise directed in writing by the Commission, a completed Control System Submission (*Schedule “I” of the Regulations*).

Note: For publicly traded corporations, in addition to the foregoing, the following information must be included with an application:

- i. A list of all directors, with a biography or curriculum vitae for each director;
- ii. Annual reports prepared by the corporation for the past three years.

REQUIRED APPLICATION FEES

Application fees must be received by the Commission before an application will be processed. The total required application fees are as follows:

1. Application fee in the amount of Twenty Five Thousand (US\$25,000.00) US Dollars, which includes:
 - i. The estimated cost of conducting the Commission’s due diligence regarding the applicant corporation and the individuals who have provided Personal Information Forms (non-refundable);
 - ii. The first annual licensing fee, refundable if an application is not granted;but which does **not** include any costs associated with the Commission’s assessment of the applicant’s Control System Submission – which cost will be paid by the applicant to the Commission upon being presented the appropriate invoice.
2. Key Person Licence Application fee in the amount of Five Thousand (US\$5,000.00) US Dollars for **each** proposed key person.

Application documents and further information about the application process is available for the Commission’s website: www.gamingcommission.ca .