



# K A H N A W A K E

## G A M I N G C O M M I S S I O N

### PREPARING AN APPLICATION FOR A CLIENT PROVIDER AUTHORIZATION

#### REQUIRED APPLICATION DOCUMENTS

1. **Application for Client Provider Authorization (“CPA”).** (*Schedule “A” of the Regulations*) Identifies the business entity that is applying for the CPA. If the application is granted, this business entity – and the the URL’s of the sites that it operates – will be listed on the KGC’s website.
2. **Business Entity Information Form.** (*Schedule “B” of the Regulations*) To be completed on behalf of the business entity that is applying for the CPA.
3. **Personal Information Form.** (*Schedule “C” of the Regulations*) To be completed by each of the following individuals:
  - i. Each director of the applicant corporation (**privately held corporations only**);
  - ii. Each shareholder with ten percent (10%) or more ownership of or controlling interest in the applicant corporation;
  - iii. Each person who submits a Key Person Licence Application Form.
4. **Key Person Licence Application Form.** (*Schedule “E” of the Regulations*). This form must be completed by each of the applicant’s Key Persons. Each applicant must nominate at least one person who exercises a key managerial function.
5. **Letter from hosting facility.** (*No form available*) Letter from Mohawk Internet Technologies ([www.mohawk.ca](http://www.mohawk.ca)), the only authorized hosting facility in Kahnawake, indicating that it is willing to host the applicant’s operations, if the application for a CPA is granted.
6. **Control System Submission.** Except as otherwise directed in writing by the Commission, a completed Control System Submission (*Schedule “H” of the Regulations*).

**Note:** For publicly traded corporations, in addition to the foregoing, the following information must be included with an application:

- i. A list of all directors, with a biography or curriculum vitae for each director;
- ii. Annual reports prepared by the corporation for the past three years.

#### REQUIRED APPLICATION FEES

Application fees must be received by the Commission before an application will be processed. The total required application fees are as follows:

1. Application fee in the amount of Twenty Five Thousand (US\$25,000.00) US Dollars, which includes:
  - i. The estimated cost of conducting the Commission’s due diligence regarding the applicant corporation and the individuals who have provided Personal Information Forms (non-refundable);
  - ii. The first annual licensing fee, refundable if an application is not granted;but which does **not** include any costs associated with the Commission’s assessment of the applicant’s Control System Submission – which cost will be paid by the applicant to the Commission upon being presented the appropriate invoice.
2. Key Person Licence Application fee in the amount of Five Thousand (US\$5,000.00) US Dollars for **each** proposed key person.

Application documents and further information about the application process is available for the Commission’s website: [www.gamingcommission.ca](http://www.gamingcommission.ca) .